

## Respondent Information Form and Questions

Please Note this form **must** be returned with your response to ensure that we handle your response appropriately

### 1. Name/Organisation

**Organisation Name**

**Title** Mr  Ms  Mrs  Miss  Dr  *Please tick as appropriate*

**Surname**

**Forename**

### 2. Postal Address


### 3. Permissions - I am responding as...

<b>Individual</b>	/	<b>Group/Organisation</b>
<input checked="" type="checkbox"/>		<input type="checkbox"/>
<i>Please tick as appropriate</i>		

<p><b>(a)</b> Do you agree to your response being made available to the public (in Scottish Government library and/or on the Scottish Government web site)?</p> <p><i>Please tick as appropriate</i>    <input checked="" type="checkbox"/> Yes    <input type="checkbox"/> No</p>	<p><b>(c)</b> The name and address of your organisation <b>will be</b> made available to the public (in the Scottish Government library and/or on the Scottish Government web site).</p> <p>Are you content for your <b>response</b> to be made available?</p> <p><i>Please tick as appropriate</i>    <input type="checkbox"/> Yes    <input type="checkbox"/> No</p>										
<p><b>(b)</b> Where confidentiality is not requested, we will make your responses available to the public on the following basis</p> <p><i>Please tick ONE of the following boxes</i></p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="border: 1px solid black; padding: 2px;">Yes, make my response, name and address all available</td> <td style="border: 1px solid black; text-align: center; width: 30px;"><input type="checkbox"/></td> </tr> <tr> <td style="text-align: center; border: none;">or</td> <td style="border: none;"></td> </tr> <tr> <td style="border: 1px solid black; padding: 2px;">Yes, make my response available, but not my name and address</td> <td style="border: 1px solid black; text-align: center;"><input type="checkbox"/></td> </tr> <tr> <td style="text-align: center; border: none;">or</td> <td style="border: none;"></td> </tr> <tr> <td style="border: 1px solid black; padding: 2px;">Yes, make my response and name available, but not my address</td> <td style="border: 1px solid black; text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Yes, make my response, name and address all available	<input type="checkbox"/>	or		Yes, make my response available, but not my name and address	<input type="checkbox"/>	or		Yes, make my response and name available, but not my address	<input checked="" type="checkbox"/>	<p><b>(d)</b> We will share your response internally with other Scottish Government policy teams who may be addressing the issues you discuss. They may wish to contact you again in the future, but we require your permission to do so. Are you content for Scottish Government to contact you again in relation to this consultation exercise?</p> <p><i>Please tick as appropriate</i>    <input checked="" type="checkbox"/> Yes    <input type="checkbox"/> No</p>
Yes, make my response, name and address all available	<input type="checkbox"/>										
or											
Yes, make my response available, but not my name and address	<input type="checkbox"/>										
or											
Yes, make my response and name available, but not my address	<input checked="" type="checkbox"/>										

## Consultation Questions

The answer boxes will expand as you type.

### Procuring rail passenger services

1. What are the merits of offering the ScotRail franchise as a dual focus franchise and what services should be covered by the economic rail element, and what by the social rail element?

Q1 comments: No comment

2. What should be the length of the contract for future franchises, and what factors lead you to this view?

Q2 comments: No comment

3. What risk support mechanism should be reflected within the franchise?

Q3 comments: No comment

4. What, if any, profit share mechanism should apply within the franchise?

Q4 comments: No comment

5. Under what terms should third parties be involved in the operation of passenger rail services?

Q5 comments: No comment

6. What is the best way to structure and incentivise the achievement of outcome measures whilst ensuring value for money?

Q6 comments: By declaring First Scotrail not a 'fit and proper' business to run a franchise will encourage others to ensure that they take customer service seriously.

7. What level of performance bond and/or parent company guarantees are appropriate?

Q7 comments: No comment.

8. What sanctions should be used to ensure the franchisee fulfils its franchise commitments?

Q8 comments: An estimate of what financial gain would have been made by not fulfilling that part of the franchise should be made and a penalty of double

that amount should be imposed. The penalty should increase for repeated offences.

### **Achieving reliability, performance and service quality**

9. Under the franchise, should we incentivise good performance or only penalise poor performance?

Q9 comments: Hopefully by banning 'FIRST' from the franchise process should be enough but incentivising good performance is preferred if necessary.

10. Should the performance regime be aligned with actual routes or service groups, or should there be one system for the whole of Scotland?

Q10 comments: Different routes may have specific problems so the performance regime should be aligned to each route.

11. How can we make the performance regime more aligned with passenger issues?

Q11 comments: By ensuring a good customer relations department would align the performance and customer needs.

12. What should the balance be between journey times and performance?

Q12 comments: No comment.

13. Is a Service Quality Incentive Regime required? And if so should it cover all aspects of stations and service delivery, or just those being managed through the franchise?

Q13 comments: The SQIR can only cover those aspects that are within the responsibility of the provider.

14. What other mechanisms could be used for assessing train and station quality?

Q14 comments: An indication of the number of complaints and how they are treated would check quality control.

### **Scottish train services**

15. Can better use be made of existing train capacity, such as increasing the permitted standing time beyond the limit of 10 minutes or increasing the capacity limit? What is an acceptable limit for standing times on rail services?

Q15 comments: No comment

16. Should the number of services making use of interchange stations (both rail to rail and rail to other modes) be increased to reduce the number of direct services? What would be the opportunities and challenges of this?

Q16 comments: No comment

17. Should Government direct aspects of service provision such as frequency and journey time, or would these be better determined by the franchisee based on customer demand?

Q17 comments: No comment.

18. What level of contract specification should we use the for the next ScotRail franchise?

Q18 comments: No comment

19. How should the contract incentivise the franchisee to be innovative in the provision of services?

Q19 comments: No comment.

### **Scottish rail fares**

20. What should be the rationale for, and purpose of, our fares policy?

Q20 comments: No comment.

21. What fares should be regulated by government and what should be set on a commercial basis? Do your recommendations change by geographic area (the Strathclyde area example), or by type of journey (for example suburban or intercity)?

Q21 comments: No comment.

22. How should we achieve a balance between the taxpayer subsidy and passenger revenue contributions in funding the Scottish rail network? At what rate should fares be increased, and how feasible would it be to apply higher increases to Sections of the network which have recently been enhanced?

Q22 comments: No comment.

23. What should the difference be between peak and off-peak fares? Will this help encourage people to switch to travelling in the off-peak?

Q23 comments: The off-peak fare should be as low as possible to encourage peak travellers to go off-peak if possible and to encourage others who would not travel to travel off-peak.

## **Scottish stations**

24. How should we determine what rail stations are required and where, including whether a station should be closed?

Q24 comments: No comment.

25. What are the merits or issues that arise from a third party (such as a local authority or local business) being able to propose, promote and fund a station or service?

Q25 comments: Third party involvement should be encouraged as it should only benefit the railway. This question can be joined with question 23. Local authorities like Glasgow along with businesses in the city might be willing to subsidise off-peak to the extent that the train travel is free. This would be a great help to city centre business and reduce car parking problems and pollution.

26. Should only one organisation be responsible for the management and maintenance of stations? If this was the franchisee how should that responsibility be structured in terms of leasing, investment, and issues relating to residual capital value?

Q26 comments: No comment.

27. How can local communities be encouraged to support their local station?

Q27 comments: Good car park facilities and co-ordinating with local buses will maximise local involvement.

28. What categories of station should be designated and what facilities should be available at each category of station?

Q28 comments: No comment.

## **Cross-border services**

29. Should cross-border services continue to go north of Edinburgh? In operating alongside ScotRail services, how do cross-border services benefit passengers and taxpayers? And who should specify these services, the Department of Transport or the Scottish Ministers?

Q29 comments: No comment.

30. Or should the cross-border services terminate at Edinburgh Waverley, allowing opportunities for Scottish connections? And if so, what additional benefits would accrue from having an Edinburgh Hub?

Q30 comments: No comment.

## **Rolling stock**

31. What alternative strategies or mechanisms could be used to reduce the cost of the provision of rolling stock?

Q31 comments:

32. What facilities should be present on a train and to what extent should these facilities vary according to the route served?

Q32 comments:

## **Passengers – information, security and services**

33. How should we prioritise investment for mobile phone provision and / or Wi-Fi type high-bandwidth services?

Q33 comments: No comment.

34. How should we balance the need for additional seating capacity and retain the flexibility of a franchisee to offer first-class services if commercially viable?

Q34 comments: No comment.

35. What issues and evidence should be considered prior to determining whether or not to ban the consumption of alcohol on trains?

Q35 comments: No comment,

36. How can the provision of travel information for passengers be further improved?

Q36 comments: No comment.

## **Caledonian Sleeper**

37. Should we continue to specify sleeper services, or should this be a purely commercial matter for a train operating company?

Q37 comments:

38. Should the Caledonian Sleeper services be contracted for separately from the main ScotRail franchise? Or should it be an option for within the main ScotRail franchise?

Q38 comments: No comment.



39. We would be interested in your views in the level and type of service that the Caledonian Sleeper Services should provide. Including:

- What is the appeal of the Caledonian Sleeper Service, and if there were more early and late trains would the appeal of the sleeper services change?
- What is the value of sleeper services to Fort William, Inverness and Aberdeen and are these the correct destinations, for example would Oban provide better connectivity?
- What facilities should the sleeper services provide and would you pay more for better facilities?

Q39 comments: No comment.

### **Environmental issues**

40. What environmental key performance indicators should we consider for inclusion in the franchise agreement or the High Level Output Specification?

Q40 comments: See answer 25. If local authorities and business will help subsidise off-peak fares then a lot of car journeys will be cancelled out and local business will be greatly advantaged.